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| FORM   | PTO-1390   | (Modified)  | U.S. DEPARTMENT OF  | COMMERCE PATENT AND TRADEM   | ARK OFFICE   | ·<br>=      | ATTORNEY'S DOCKET NUMBER                   |  |  |  |  |
|--|--|---|---|------------------------------|--|-------------|--|--|--|--|--|
| (REV   | (REV 5-93)   |   |   |                              |  |             |  |  |  |  |  |
| TRANSMITTAL LETTER TO THE UNITED STATES 053466/0277      |  |   |   |                              |  |             | 053466/0277                                |  |  |  |  |
|  | DESIGNATED/ELECTED OFFICE (DO/EO/US)   |   |   |                              |  |             |  |  |  |  |  |
| <b>—</b>   | CONCERNING A FILING UNDER 35 U.S.C. 371  [U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) |   |   |                              |  |             |  |  |  |  |  |
|  |  |   |   |                              |  |             | ssigned                                    |  |  |  |  |
|  | INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED                |   |   |                              |  |             |  |  |  |  |  |
|  | PCT/JP98/04467 October 2, 1998 October 3, 1997 TITLE OF INVENTION                            |   |   |                              |  |             |  |  |  |  |  |
|  | GANKYF   |   | OI4   |                              |  |             |  |  |  |  |  |
|  |  |   | R DO/EO/US  | 742.700.41                   |  | <del></del> |  |  |  |  |  |
| App  | Jun FUJI   | TA<br>rewith s  | submits to the United Sta   | tes Designated/Elected Of    | tice (DO   | /FO/USY     | the following items and other information: |  |  |  |  |
|  |  |   |   |                              |  |             | _  |  |  |  |  |
| 1.   | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.                 |   |   |                              |  |             |  |  |  |  |  |
| 2  |  | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |   |                              |  |             |  |  |  |  |  |
| 3  |  | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).   |   |                              |  |             |  |  |  |  |  |
| 4  |  | A proper Demand for International Preliminary Examination was made by the 19 <sup>th</sup> month from the earliest claimed priority date.   |   |                              |  |             |  |  |  |  |  |
|  |  | A cop   | is transmitted herewith has been transmitted by   | the International Bureau.    | 2)) the International Bureau). States Receiving Office (RO/US) |             |  |  |  |  |  |
| 6.   | $\boxtimes$  | A tran  | A translation of the International Application into English (35 U.S.C. 371(c)(2)).                                |                              |  |             |  |  |  |  |  |
| 10 10 10 10 10 10 10 10 10 10 10 10 10 1                 |  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are transmitted herewith (required only if not transmitted by the International Bureau).  have been transmitted by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made. |   |                              |  |             |  |  |  |  |  |
| 8.   |  | A tran  | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                         |                              |  |             |  |  |  |  |  |
| 9.   | $\boxtimes$  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |                              |  |             |  |  |  |  |  |
| 10.  |  | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |   |                              |  |             |  |  |  |  |  |
| Item   | ns 11. to  | 16. bel   | ow concern other docume   | ent(s) or information includ | ed:  |             |  |  |  |  |  |
| 11.  | $\boxtimes$  | An Int  | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |                              |  |             |  |  |  |  |  |
| 12.  |  | An as   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |                              |  |             |  |  |  |  |  |
| 13.  |  |   | FIRST preliminary amendment. SECOND or SUBSEQUENT preliminary amendment.  |                              |  |             |  |  |  |  |  |
| 14.  |  | A substitute specification.   |   |                              |  |             |  |  |  |  |  |
| 15. A change of power of attorney and/or address letter. |  |   |   |                              |  |             |  |  |  |  |  |
| 18.  | Other items or information: Receipt of Microorganisms Deposit (2 pages)                      |   |   |                              |  |             |  |  |  |  |  |

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| U.S. APPLICATION NO. (IF) Unassigned   | known, see 37 C.F.R.1                  | 77              | 75        | PCT/JF               |             | APPLICATION N        | 10.         |                |            | ATTORNEY'S DOCKET<br>053466/0277 | NUMBER       |              |
|--|--|-----------------|-----------|----------------------|-------------|----------------------|-------------|----------------|------------|----------------------------------|--------------|--------------|
| 17. A The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5):   |  |                 |           |                      |             |                      |             |                | CALCULATIO | NS                               | PTO USE ONLY |              |
|  | l Fee (37 CFR 1<br>t has been prep     |                 |           |                      | 0           |                      |             | \$840.         | 00         |                                  | <u> </u>     |              |
|  | oreliminary exam<br>2)                 |                 |           |                      |             |                      |             | \$670.         | 00         |                                  |              |              |
| No internation<br>but internation  | nal preliminary e<br>nal search fee pa | xamir<br>aid to | ation fee | paid to 0<br>(37 CFR | JSP<br>1.44 | TO (37 CF<br>5(a)(2) | R 1.4       | 182)<br>\$690. | 00         |                                  |              |              |
|  | ational prelimina<br>search fee (37 C  |                 |           |                      |             |                      |             | \$970.         | 00         |                                  |              |              |
|  | oreliminary exant<br>satisfied provisi |                 |           |                      |             |                      |             |                | 00         |                                  |              |              |
| ENTER APPROPRIATE BASIC FEE AMOUNT =   |  |                 |           |                      |             |                      |             |                | =          | \$8                              | 40.0         |              |
| Surcharge of \$130.<br>Months from the ea  |  |                 |           |                      |             |                      |             |                |            |                                  |              |              |
| Claims   | Number Filed                           |                 |           | d in Basic           | È           | Extra<br>Claims      |             | Rat            | е          | <del></del>                      | I            | -,           |
| Total Claims   | 34                                     | <u>-</u>        | 2         | 20                   | =           | 14                   | ×           | \$             | 18.0       | \$2                              | 52.00        | -            |
| Independent<br>Claims  | 19                                     | -               |           | 3                    | =           | 16                   | ×           | \$             | 78.0       | \$12                             | 48.00        |              |
| Multiple dependent   | claim(s) (if appl                      | icable          | )         |                      | <b>!</b>    |                      |             | \$2            | 60.0       |                                  |              |              |
|  |  | T               | OTAL (    | OF ABC               | OVE         | CALCU                | LAT         | IONS           | =          | \$23                             | 40.00        |              |
| Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). |  |                 |           |                      |             |                      |             | \$0.0          |            |                                  |              |              |
| SUBTOTAL =   |  |                 |           |                      |             |                      | =           | \$23           | 40.00      |                                  |              |              |
| Processing fee of \$   |  |                 |           |                      |             |                      | -           |                | +          |                                  |              |              |
|  | TOTAL NATIONAL FEE =                   |                 |           |                      |             |                      |             |                | =          | \$23                             | 40.00        |              |
| Eee for recording the accompanied by ar  |  |                 |           |                      |             |                      |             |                |            |                                  | -            |              |
|  |  |                 |           | TOTA                 | ٦L F        | EES EN               | CLO         | DSED           | =          | \$23                             | 40.00        |              |
|  |  |                 |           |                      |             |                      |             |                | !          | Amount to be: refunded           | \$           |              |
|  |  |                 |           | <u>-</u>             |             |                      |             |                |            | charged                          | \$           | -            |
| a. 🛛 A check i   | n the amount of                        | \$234           | 0.00 to c | over the             | abov        | e fees is e          | nclos       | sed.           |            |                                  | .L           |              |
|  |  | it Acc          | ount No.  | . <u>19-0741</u>     | in th       | ne amount            | of \$2      | 2340.00 t      | o the      | above fees. A du <sub>l</sub>    | plicate      | copy of this |
|  | missioner is her<br>nent to Deposit A  |                 |           |                      |             |                      |             |                |            | e required, or cre<br>closed.    | dit any      |              |
| NOTE: Where an a<br>1.137(a) or (b)) mu  |  |                 |           |                      |             |                      |             |                | et, a p    | etition to revive (3             | 7 CFR        |              |
| SEND ALL CORRESPO  |  |                 |           |                      | ,           |                      |             | A              | 1          | 1 Bh                             |              | •            |
| Foley & L  | .ardner                                |                 |           |                      |             |                      | SIGN        | ATURE          | jon<br>1   | No. 35,26                        | w            |              |
| Washington Harbour   |  |                 |           |                      |             |                      |             |                |            |                                  |              |              |
| 3000 K Street, N.W., Suite 500  Washington, D.C. 20007-5109  NAME HAROLD C. WEGNER   |  |                 |           |                      |             |                      | <del></del> |                |            |                                  |              |              |
| REGISTRATION NUM   |  |                 |           |                      |             |                      | J NILINAD   | ED 25.259      |            |                                  |              |              |



DEPOSITOR

Name: Jun Fujita

Address: Manhaimu Kamogawa 301,

14, Yoshidakawara-machi,

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOTITARY AUTHORITY identified at the bottom of this page.

I. IDENTIFICATION OF THE MICROORGANISM

Identification reference given by the DEPODITOR: Escherichia coli DH5 $\alpha$  [pBS-t4-11]

(Deposition Number)

FERM BP-6128

- $\hbox{II.} \qquad \hbox{SCIENTIFIC DESCRIPTION AND/OR TAXONOMIC DESIGNATION}$  The microorganism identified under I above was accompanied by:
  - X a scientific description
  - X a proposed taxonomic designation (Mark with a cross where applicable)
- III. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganisms identified under I above, which was received by it on September 29, 1997 (Date of the original deposit)<sup>1</sup>.

IV. RECEIPT OF TRANSFER

This International Depositary Authority accepted the microorganisms identified under I above, on (Date of the original deposit), and accepted a request for transfer to a deposition under Budapest treaty from the original deposition, on (Transferred from FERM P deposited on

V. INTERNATIONAL DEPOSITARY AUTHORITY

National Institute of Science and Human-Technology

Agency of Industrial Science and Technology

Director General Shinichi Ohashi

<sup>1- 3,</sup> Higashi 1 chome, Tsukuba-shi, Ibaraki-ken, 305, Japan

"NTERNATIONAL RM

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特許手続上の微生物の寄託の国際的承認 に関するブタベスト条約

下記国際寄託当局によって規則7.1に従い 発行される。

原寄託についての受託証

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

is sued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

平成 9年 (1997) 9月29日

氏名 (名称)

藤田 潤

寄託者

あて名 〒

殿

京都府京都市左京区吉田河原町14、マンハ イム鴨川301号

| イム鴨川301号  | •                       |
|---|-------------------------|
| 1.徴生物の表示  |                         |
| (寄託者が付した識別のための表示)<br>Escherichia coli DH5。[pBS-t4-11]   | (受託番号)<br>FERM BP- 6128 |
| 2. 科学的性質及び分類学上の位置   |                         |
| 1 欄の微生物には、次の事項を記載した文書が添付されていた。  ■ 科学的性質 ■ 分類学上の位置   |                         |
| 3. 受領及び受託   |                         |
| 本国際寄託当局は、 平成 9 年 9 月 2 9 日(原寄託日)に受領し  | た1欄の徴生物を受託する。           |
| 4. 移管請求の受領  |                         |
| 本国際寄託当局は、 年 月 日(原寄託日)に1棚の<br>そして、 年 月 日 に原寄託よりブダペスト条約に基   |                         |
| 5. 国際寄託当局   |                         |
| 通商産業省工業技術院生命工学工業技術  | 研究所                     |
| National I ファー・コース Bioscience and Agent 文字 中間 Crial Science and Fig. Bioscience and | and Technology          |
| 1-3, Higashi 1 chome Tsukuba-shi Iba  | raki — ken              |
| 305, JAPAN  |                         |